



Employee Investigation & Conduct Risk Management Services

What is the need?

Does your organization have an employee investigation or conduct risk management program need? Are you confident your overall program is adequate? Do you need assistance in assessing your unique needs? The recent news regarding charges issued by the OCC against former Wells Fargo executives demonstrates how costly it can be if you do not have a robust conduct risk management program in place, not only for your organization, but for you as a HR leader. Yet many organizations are still struggling to build a strong program because they do not have the resources or the expertise to properly assess, analyze and “resolve” these issues. To successfully address these risk management challenges, HR professionals can benefit greatly by collaborating with the objective and highly qualified experts at **Rezolvrizk**.

Why Rezolvrizk?

Rezolvrizk is a 5 ½ year old risk management firm specializing in assisting companies in identifying, addressing and resolving their employee investigation and conduct management risks.

Our mission and purpose remain the same

- to utilize our expertise gained from real world experiences, applications and solutions to assist in the success of those who have a risk challenge or a program deficiency, but lack either the time, internal resources, or the expertise to properly address and “resolve”.

In response to client needs and the continued pressure being placed on organizations to strengthen their conduct risk management programs, **Rezolvrizk** is expanding its’ Employee Investigation and Conduct Risk Management Practices. Loretta Sperle, former Wells Fargo Senior Vice President & Head of Internal Investigations, has joined **Rezolvrizk** as a Senior Partner to lead this expansion. She has 30+ years of high quality corporate leadership experience in audit, investigations, and conduct risk management.

Rezolvrizk is led by our Managing Partner, Michael J. Bacon, former Wells Fargo Executive Vice President & Chief Security Officer, a 26+ year audit, HR, security and employee investigations leader. As the former Wells Fargo Chief Security Officer and Head of Corporate Investigations, whose strong leadership and commitment to high ethical standards was referenced over 25 times in a recent OCC announcement, we clearly have the real world experience to provide exceptional value to your organization and its’ efforts to avoid similar missteps.

Can We Add Value?

Let's connect and discuss. Every organization has unique business needs and are at varying levels of maturity in their employee investigation and conduct risk management programs. At **Rezolvrizk** we will confidentially collaborate with you to assess your needs and find a customized solution that is both efficient and effective. Our primary services include:

Employee Investigation Program Assessments & Development:

- Employee Investigation Program current state / business needs assessment
- New or enhanced program development
- New or enhanced policies, processes, and procedures
- Audit / regulatory issue remediation
- Investigation & Case Management Best Practices
- Compliance programs
- Staff presentations and training

Conduct Risk Management Program Assessments & Development:

- Conduct Risk Management Program current state / business needs assessment
- New or enhanced program/framework development
- New or enhanced policies, processes, and procedures
- Ethics Hotline program assessment and development
- End-to-End Allegations Management
- Employee Misconduct risk assessments and monitoring programs
- Staff presentations and training

Thank you for your interest!

Please do not hesitate to reach out.

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